



**Department of  
Environmental  
Conservation**



**APPLICATION FOR PERMIT  
FOR THE CONSTRUCTION, RECONSTRUCTION OR REPAIR OF A  
DAM OR OTHER IMPOUNDMENT STRUCTURE**  
Supplement D-1

Please read all instructions on the following page. Please TYPE or PRINT clearly in ink. Attach additional information as needed.

<b>FOR DEPARTMENT USE ONLY</b>	
APPLICATION NO.	
WATERSHED	

**PROJECT DESCRIPTION**

1. LOCATION ON U.S. GEOLOGICAL SURVEY MAP COUNTY _____ Latitude _____ Longitude _____		2. PROPOSED USE FOR IMPOUNDED WATER		3. STATE THE HEIGHT ABOVE SPILLCREST OF THE LOWEST PART OF THE IMMEDIATE UPSTREAM ADJOINING PROPERTY OR PROPERTIES  Feet _____									
4. IS THIS PROPOSED POND OR LAKE PART OF A PUBLIC WATER SUPPLY If not, where is nearest downstream public water supply intake?  Yes      No			5. STATE DAM ID#	6. DRAINAGE AREA (ac or sq-mi)	7. HEIGHT OF DAM ABOVE STREAM BED?  Feet _____								
8. THE DRAINAGE AREA IS COMPOSED OF: (Total = 100%)  <table border="0" style="width:100%"> <tr> <td align="center">_____% Forest</td> <td align="center">_____% Cropland</td> <td align="center">_____% Pasture</td> <td align="center">_____% Swamp</td> <td align="center">_____% Suburban Lands</td> <td align="center">_____% Urban Lands</td> <td align="center">_____% Other</td> </tr> </table>						_____% Forest	_____% Cropland	_____% Pasture	_____% Swamp	_____% Suburban Lands	_____% Urban Lands	_____% Other	
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9. TYPE OF SPILLWAY Service Spillway - Auxiliary Spillway Combination      Drop Inlet/Riser ONLY Single Spillway      Other			10. DESIGNER'S ESTIMATE OF CLASS OF HAZARD (As described in 6NYCRR Part 673.5)  Class A - Low      Class B - Intermediate      Class C - High										
11a. SPILLWAY DESIGN FLOOD (Refer to Guidelines 5.3) Frequency      Flood Peak      cfs      Runoff Volume      in.			11b. SERVICE SPILLWAY DESIGN FLOOD (Refer to Guidelines 5.3) Frequency      Flood Peak      cfs      Runoff Volume      in.										
12. THE SINGLE SPILLWAY OR AUXILIARY SPILLWAY IS COMPOSED OF: Vegetated Earth      Concrete      Timber      Rock-filled Crib      Masonry      Other <b>HydroTurf</b>													
13. MAXIMUM VELOCITY WITHIN THE SINGLE OR AUXILIARY SPILLWAY fps		14. SINGLE OR AUXILIARY SPILLWAY DISCHARGE AT DESIGN HIGH WATER (Not activated during SDF) cfs	15. TYPE OF ENERGY DISSIPATER PROVIDED ON SINGLE SPILLWAY Hydraulic Jump Basin      Drop Structure      Other										
16a. POND OR LAKE WILL BE DRAINED BY MEANS OF			15b. WATER WILL BE SUPPLIED TO RIPARIAN OWNERS DOWNSTREAM BY MEANS OF										
17. AREA CAPACITY DATA Answer 1, 2 and 3, OR 1, 2, 4, 5		ELEVATION, Referred to Assumed Benchmark	SURFACE AREA	VOLUME STORED	18a. TYPE OF ENERGY DISSIPATER AT OUTLET OF CONDUIT: Impact Basin      Hydraulic Jump Basin Plunge Pool      Other								
1. Top of Dam		Feet	Acres	Acre-Feet	18b. IS RISER PROVIDED WITH AN ANTI-VORTEX DEVICE?  Yes      No								
2. Design High Water		Feet	Acres	Acre-Feet									
3. Single Spillway Crest		Feet	Acres	Acre-Feet									
4. Auxiliary Spillway Crest		Feet	Acres	Acre-Feet									
5. Service Spillway Crest		Feet	Acres	Acre-Feet									
19. DRAWDOWN TIMES: Answer 1 and 2, OR 1, 3, and 4  <table border="0" style="width:100%"> <tr> <td style="width:50%">1. Has provision been made to evacuate 90% of the storage below the lowest spillway crest within fourteen days?</td> <td style="width:10%; text-align:center"><u>Yes</u>      <u>No</u></td> <td style="width:50%">3. Can the Service Spillway evacuate 75% of the storage between the Auxiliary Spillway and the Service Spillway crest within seven days?</td> <td style="width:10%; text-align:center"><u>Yes</u>      <u>No</u></td> </tr> <tr> <td>2. Can the single spillway evacuate 75% of the storage between the maximum design high water and the spillway crest within 48 hours?</td> <td></td> <td>4. Can the Service Spillway and the Auxiliary Spillway in combination evacuate the storage between the design high water and the Auxiliary Spillway crest within 12 hours?</td> <td></td> </tr> </table>						1. Has provision been made to evacuate 90% of the storage below the lowest spillway crest within fourteen days?	<u>Yes</u> <u>No</u>	3. Can the Service Spillway evacuate 75% of the storage between the Auxiliary Spillway and the Service Spillway crest within seven days?	<u>Yes</u> <u>No</u>	2. Can the single spillway evacuate 75% of the storage between the maximum design high water and the spillway crest within 48 hours?		4. Can the Service Spillway and the Auxiliary Spillway in combination evacuate the storage between the design high water and the Auxiliary Spillway crest within 12 hours?	
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20a. STABILITY FOR EARTHEN DAMS (Refer to SECTION 9.0) 1. Geometry      Upstream Slope      Downstream Slope      Crest Width			2. Slope stability assessed?  Yes      No	20b. GRAVITY DAMS (Refer to Section 10.0) Were all loading cases assessed?      Yes      No									
21. SPECIFICATIONS Have specifications for materials and placement been provided?      Yes      No													
22a. SOIL DATA - State the character of the bed and banks in respect to natural types of soil materials, hardness, perviousness, water bearing, effect of exposure to air and water, uniformity, etc.  If an earth dam, describe the material to be used in the embankment.  What is the source of embankment fill material?													
22b. Are there porous seams or fissures beneath the foundation of the proposed dam?  Yes      No			22c. Method used to obtain the above soil data Soil Borings      Test Pits										
23. DESIGN ENGINEER Name of agency or individual		P.E. License No. of Individual	Date	Title <b>Dams Engineering</b>	Telephone No.								
Address				Email Address									

**INSTRUCTIONS FOR INFORMATION TO ACCOMPANY SUPPLEMENT D-1  
(DAM/IMPOUNDMENT APPLICATION)**

1. Five (5) copies of all documents must be filed, including detailed construction plans and specifications.
2. The plans and specifications submitted with the application must include the following information:  
NOTE: The following is required to satisfy the requirement in 6NYCRR Part 608, section 608.6(a)(3)(iii) for construction plans and project specifications that are sufficiently detailed for department evaluation of the safety aspects of the dam.
  - a. A plan showing the proposed dam and dam appurtenances, horizontal and vertical controls, the normal water level in the lake or pond, the limits of the owner's property, the location of drill holes, test pits or other foundation exploration, the location of borrow areas, and topographic contours at the dam and around the anticipated reservoir area, including 2-foot contours to 6 feet above high water level.
  - b. A profile along the dam axis from abutment to abutment and a cross section diagram of the dam at its maximum height, showing original, existing, and proposed conditions.
  - c. A profile along the center line and a cross section diagram, or diagrams, of the spillways, including stilling basins, outlet work, and other details of the design of the structures.
  - d. Specifications for the materials and for the methods of construction.
  - e. A description of construction inspection activities, to be performed by the applicant's engineer, to ensure that work is performed in conformance with the approved design.
  - f. A record of subsurface investigation and soils information used by the design engineer or conservationist for foundation and borrow assessment.
  - g. Any additional drawings needed to clearly show all details of the proposed project.
  - h. Samples of foundation, embankment and construction materials need not be furnished unless specifically requested by the Department.
3. The design, preparation of plans, estimates and specifications, and the supervision of the erection, reconstruction and repair of all the structures, herein applied for, shall be done by a licensed professional engineer, or, in the case of farm ponds, by an engineer or conservationist employed by a governmental agency cooperating with a soil conservation district.
4. A technical guidance document "Guidelines for Design of Dams" is available upon request from the DEC Regional Permit Administrator or through the DEC Dam Safety website at <https://www.dec.ny.gov/lands/4991.html>. This document outlines hazard classification, hydrologic criteria, structural stability, and other criteria which should be utilized by the design engineer.
5. **NO WORK** (including site preparation) for construction of new structures or reconstruction or repairs of existing structures **SHALL BE STARTED UNTIL A PERMIT** has been issued by the New York State Department of Environmental Conservation.